SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

			Washington, D.C. 20549		OM	3 APPROVAL	L
to Section 1	box if no longer subje 6. Form 4 or Form 5 may continue. <i>See</i> L(b).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 193 or Section 30(h) of the Investment Company Act of 1940		Estimated	OMB Number: 323 Estimated average burden hours per response:	
1. Name and Ac DiBiase M	ddress of Reporting <u>lary</u>	Person [*]	2. Issuer Name and Ticker or Trading Symbol X4 Pharmaceuticals, Inc [XFOR]	(Check all app Direc	plicable) ctor	erson(s) to Issuer 10% Owner	r
(Last) C/O X4 PHA	(First)	(Middle) ALS, INC.	3. Date of Earliest Transaction (Month/Day/Year) 10/31/2023	A below	er (give title w) Chief Operatin	Other (spec below) g Officer	ury
61 NORTH I	BEACON STRE	EET 4TH FLOOR	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applica Line)			
(Street) BOSTON	МА	02134				porting Person an One Reporting	g
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication	*			
			Check this box to indicate that a transaction was made pursuan satisfy the affirmative defense conditions of Rule 10b5-1(c). See		ruction or written p	an that is intended	l to

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities A Disposed Of (5)			5. Amount of Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)	
Common Stock	10/31/2023		A		230,645 ⁽¹⁾	A	\$ <mark>0</mark>	378,539	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(0/1	,	,		,	• '				,			
1. Title of Derivative Security (Instr. 3)	vative Conversion Date E rity or Exercise (Month/Day/Year) if		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents shares earned upon the vesting of performance-based restricted stock units. The Compensation Committee of the Board of Directors of the Issuer certified the achievement of a performance condition, which occurs upon FDA acceptance of a new drug application for mavorixafor.

Remarks:

Adam S. Mostafa, attorney-<u>11/02/2023</u> in-fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.