FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washingt

| •   |   |     | _  | _   | -  | _ | <br> |  | _ | _ | _ | <br> | <br>_ | _ | <br> | - |  |
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| OMB APPROVAL           |           |  |  |  |  |  |  |  |  |  |
|------------------------|-----------|--|--|--|--|--|--|--|--|--|
| OMB Number:            | 3235-0287 |  |  |  |  |  |  |  |  |  |
| Estimated average burd | len       |  |  |  |  |  |  |  |  |  |
| hours per response:    | 0.5       |  |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name ar<br>Lawton  |                | 2. Issuer Name <b>and</b> Ticker or Trading Symbol X4 Pharmaceuticals, Inc [ XFOR ] |  |   |                |  |   |   |                     |  |                | all applic   | cable)<br>r   | g Pers                                    | son(s) to Iss<br>10% Ow   | ner                     |  |  |   |  |
|---|----------------|---|--|---|----------------|--|---|---|---------------------|--|----------------|--|---|---|---|-------------------------|--|--|---|--|
| (Last)<br>C/O X4 I  | (Fi<br>PHARMAC | rst) (<br>EEUTICALS, IN   | ,  | 3. Date of Earliest Transaction (Month/Day/Year) 10/05/2020 |                |  |   |   |                     |  |                |  |   | Officer<br>below)                         | (give title   |                         | Other (s<br>below)   | pecify   |   |  |
| 61 NORT   | ГН ВЕАСС       | N STREET, 4T  | 4. If Amendment, Date of Original Filed (Month/Day/Year) |   |                |  |   |   |                     |  |                |  | 6. Individual or Joint/Group Filing (Check Applicable |   |   |                         |  |  |   |  |
| (Street) BOSTON MA 02134  |                |   |  |   |                |  |   |   |                     |  |                |  |   |   | Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person                         |                         |  |  |   |  |
| (City) (State) (Zip)  |                |   |  |   |                |  |   |   |                     |  |                |  |   |   |   |                         |  |  |   |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned  |                |   |  |   |                |  |   |   |                     |  |                |  |   |   |   |                         |  |  |   |  |
| 1. Title of Security (Instr. 3)  2. Transa Date (Month/D.   |                |   |  |   |                | r) E   | A. Deemed<br>execution Date,<br>fany<br>Month/Day/Year) |   | Code (In:           | Transaction Disposed Code (Instr. 5)       |                |  |   | 4 and Securitie<br>Benefici<br>Owned F    |   | es<br>ally<br>Following | Form<br>(D) or   | : Direct<br>r Indirect<br>str. 4)                                  | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |  |
|   |                |   |  |   |                |  |   |   | Code                | <b>,</b>                                   | Amount         | (A) or<br>(D)  | Price   |   | Reported<br>Transact<br>(Instr. 3 a   | ion(s)                  |  |  | Instr. 4)   |  |
|   |                | Т   |  |   |                |  |   | uired, Dis                                  |                     |  |                |  |   | wned                                      |   |                         |  |  |   |  |
| 1. Title of Derivative (Instr. 3)  2. Conversion or Exercise Price of Derivative Security  3. Transactic Date (Month/Day/ |                |   | 3A. Deemed<br>Execution Da<br>if any<br>(Month/Day/\)    | ate, Tra  | ction<br>nstr. | 5. Num<br>of<br>Deriva<br>Securi<br>Acquir<br>(A) or<br>Dispos<br>of (D)<br>(Instr.:<br>and 5) | tive<br>ties<br>ed<br>sed                               | 6. Date Exer<br>Expiration D<br>(Month/Day/ | ate                 | ear) Amour<br>Securii<br>Underl<br>Derivat |                | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |   | Price of<br>rivative<br>curity<br>str. 5) | 9. Number<br>derivative<br>Securities<br>Beneficial<br>Owned<br>Following<br>Reported<br>Transactio<br>(Instr. 4) | ly                      | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |  |
|   |                |   |  | Co  | ode            | v  | (A) (   |   | Date<br>Exercisable |  | piration<br>te | Title  | Amount<br>or<br>Number<br>of<br>Shares                |   |   |                         |  |  |   |  |
| Stock<br>Option<br>(right to<br>buy)  | \$7.33         | 10/05/2020  |  | ,   | A              |  | 6,854   |   | (1)                 | 10/  | /04/2030       | Common<br>Stock  | 6,854   |   | \$0   | 6,854                   |  | D  |   |  |

## **Explanation of Responses:**

1. 33.3333% of the shares subject to the option shall vest on October 5, 2021, with the remainder vesting thereafter in equal monthly installments of 2.7777% of the shares subject to the option until the 36-month anniversary of the date of the grant, subject to the Reporting Person's continued services as a director through each applicable vesting date.

## Remarks:

/s/ Derek M. Meisner, attorney-10/06/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.