SEC Form 4

(City)

FORM 4

(State)

(Zip)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

	Washington, D.C. 20049	Washington, D.C. 20049						
to Section 16. Form 4 or Form 5 obligations may continue. See	ATEMENT OF CHANGES IN BENEFICIAL OWN		OMB Number: C Estimated average burder hours per response:	3235-0287 en 0.5				
Instruction 1(b).	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940	l I	<u>,</u>					
1. Name and Address of Reporting Person [*] Taveras Arthur	2. Issuer Name and Ticker or Trading Symbol <u>X4 Pharmaceuticals, Inc</u> [XFOR]	(Check all applicab Director	, 10% O\	wner				
(Last) (First) (Middle C/O X4 PHARMACEUTICALS, INC.	3. Date of Earliest Transaction (Month/Day/Year) 11/01/2023	X Officer (gives below)	ve title Other (s below) f Scientific Officer	specity				
61 NORTH BEACON STREET 4TH FL	OOR 4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street)		X Form filed	by One Reporting Pers	on				
BOSTON MA 02134		Form filed Person	by More than One Rep	orting				

Rule 10b5-1(c) Transaction Indication
X Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	11/01/2023		S ⁽¹⁾		67,695	D ⁽²⁾	\$0.73 ⁽³⁾	249,323	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Nu of Deriv Secu Acqu (A) of Dispo of (D) (Instr and 5	vative rities hired r osed) r. 3, 4	6. Date Exerc Expiration Da (Month/Day/Y	ite	7. Titl Amou Secur Unde Deriv Secur 3 and	int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These transactions were made pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on May 24, 2023.

2. Sale of shares to cover personal income tax obligation upon settlement of restricted stock unit award

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$0.6841 to \$0.80, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Remarks:

/s/ Adam S. Mostafa, attorney-11/03/2023

in-fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.