SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Bill & Melinda Gates Foundation	2. Date of Even Requiring State (Month/Day/Yea 11/15/2017	ment	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Arsanis, Inc.</u> [ ASNS ]					
(Last) (First) (Middle) P.O. BOX 23350			4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner			5. If Amendment, Date of Original Filed (Month/Day/Year)		
(Street) SEATTLE WA 98102			Officer (give title below)	Other (spec below)	cify 6. li App	licable Line) Form filed by Form filed by	/Group Filing (Check y One Reporting Person y More than One	
(City) (State) (Zip)						Reporting P	erson	
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4) or Indirect (I) (Instr. 5)		t (D)   (Inst	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Securit		4. Conversior or Exercise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Series D Convertible Preferred Stock	(1)	(1)	Common Stock	722,179	(1)	D		

Explanation of Responses:

1. The Series D Convertible Preferred Stock is convertible at any time, at the holder's election and automatically upon the closing of the issuer's initial public offering, on a 0.29300-for-one basis and has no expiration date.

<u>/s/ Connie Collingsworth,</u> <u>Chief Business Operations and</u> <u>11/15/2017</u> <u>Legal Officer</u> \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.